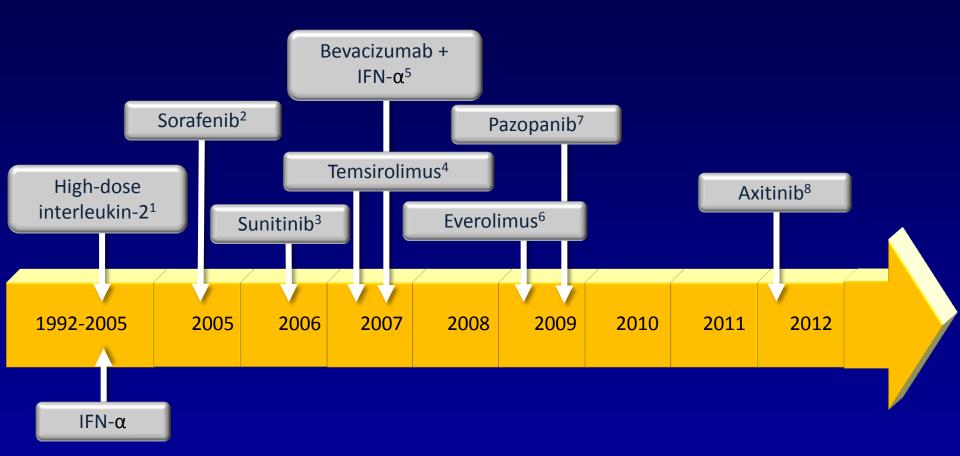
2014 Best of ASCO: Novel Immunotherapy for Kidney (and Bladder) Cancer

Robert J. Motzer, MD

Memorial Sloan-Kettering Cancer Center

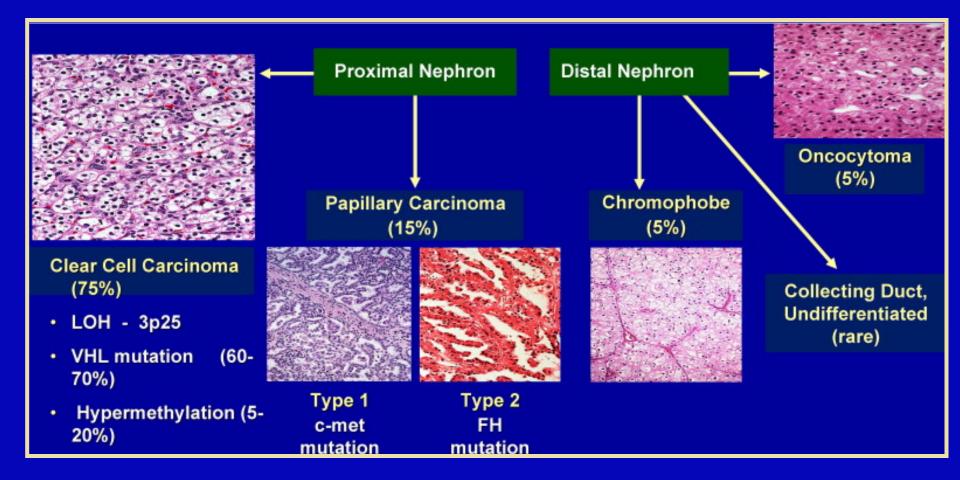
Treatment options for patients with mRCC have been revolutionised in a short period of time...



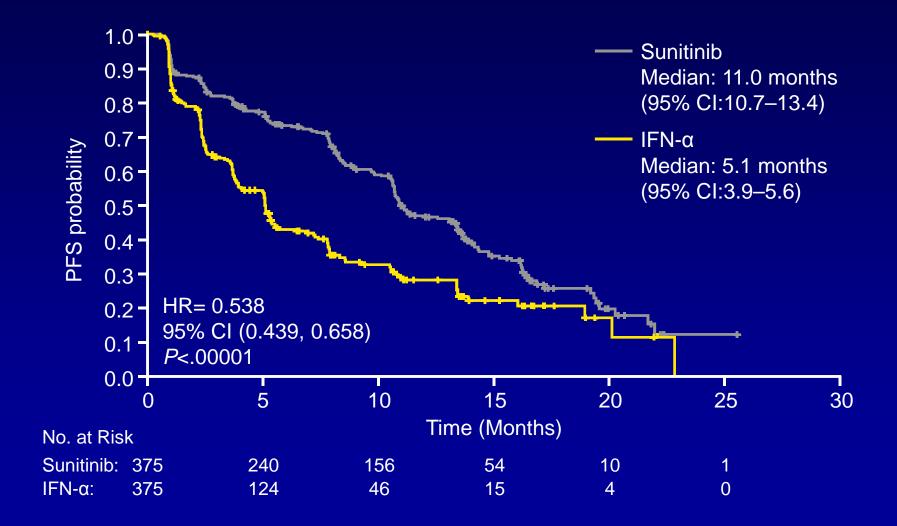
- 1. Fyfe G, et al. J Clin Oncol 1995;13:688-696.
- 2. Escudier B, et al. N Engl J Med 2007;356:125-134.
- 3. Motzer RJ, et al. N Engl J Med 2007;356:115-124.
- 4. Hudes G, et al. N Engl J Med 2007;356:2271-2281.

- 5. Escudier B, et al. Lancet 2007;370:2103-2111.
- 6. Motzer RJ, et al. Lancet 2008;372:449-456.
- 7. Sternberg CN, et al. J Clin Oncol 2010;28:1061-1068.
 - 8. Rini BI, et al. Lancet 2011;378:1931-1939.

Renal Cell Carcinoma



Phase III Trial Sunitinib vs IFN-α: Progression-free Survival



Motzer RJ, et al. N Engl J Med. 2007;356:115–124; Motzer RJ, et al. J Clin Oncol. 2007;20(Suppl. 18S):5024 (Abstract).

Treatments for Clear-cell mRCC

| Setting | Patients | Level 1* | ≥ Level 2* |
|-----------------|-------------------------------|--|----------------|
| First- line | Good- or intermediate-risk | Sunitinib Bevacizumab + IFN-α Pazopanib | High-dose IL-2 |
| | Poor-risk | Temsirolimus Sunitinib | |
| Second- line | Prior VEGF TKI | Everolimus Axitinib | Sorafenib |

*Guide to clinical preventive services: National Library of Medicine (Web site). http://www.ncbi.nlm.nih.gov. Molina AM, Motzer RJ. *Clin GU Cancer*. 2008;6:S7–S12.

Challenges in Clinical Outcome With Targeted Drugs

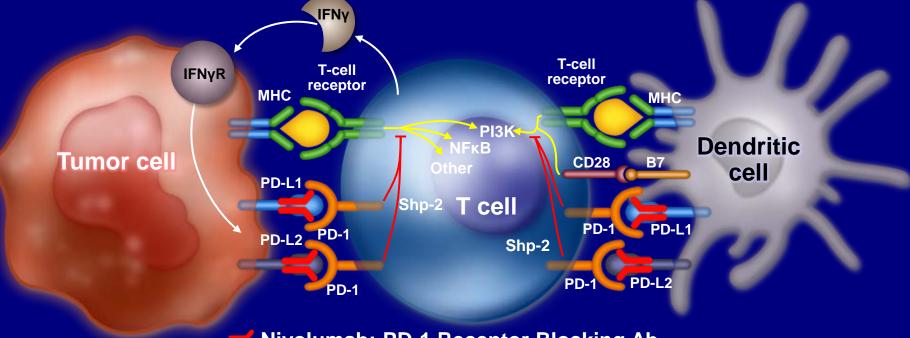
- Few complete responses
- Plateau in efficacy
- Primary treatment refractory
- Acquired resistance
- Survival benefit elusive in trials
- Chronic toxicities

Challenges in Clinical Outcome With Targeted Drugs

- Few complete responses
 - NEW DRUGS ARE NEEDED WITH A NOVEL MECHANSIM OF ACTION
- Acquired resistance
- Survival benefit elusive in trials
- Chronic toxicities

Nivolumab: Mechanism of Action

 Binding of PD-1 to its ligands PD-L1 and PD-L2 leads to downregulation of the antitumor immune response¹



K Nivolumab: PD-1 Receptor Blocking Ab

• Nivolumab is a fully human IgG4 PD-1 immune checkpoint inhibitor

Nivolumab selectively blocks the PD-1 and PD-L1/PD-L2 interaction, restoring antitumor T-cell function^{1–4}

IFNγ, interferon gamma; MHC, major histocompatibility complex; PD-1, programmed death-1; PD-L1, programmed death-ligand 1. Hamid O, et al. *Exp Opin Biol Ther.* 2013;13:847–61; 2. Brahmer JR, et al. *J Clin Oncol.* 2010;28:3167–75; 3. Nurieva RI, et al. *Immuno* Rev. 2011;241:133–44; 4. Hamanishi J, et al. *Proc Natl Acad Sci U S A.* 2007;104:3360–5.

ASCO 2014 Abstracts

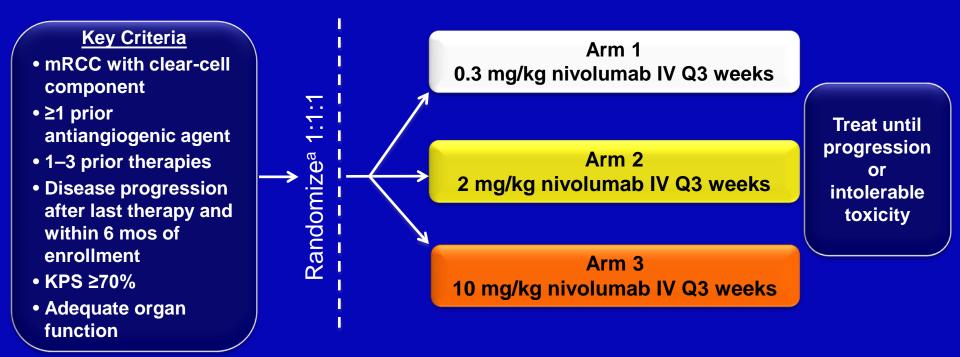
- Abstract 5009: Nivolumab for metastatic renal cell carcinoma: results of a randomized, dose-ranging phase II trial
- Abstract 5012: Immunomodulatory activity of nivolumab in previously treated and untreated metastatic renal cell carcinoma: biomarker-based results from a randomized clinical trial
- Abstract 5010: Nivolumab in combination with sunitinib or pazopanib in patients with metastatic renal cell carcinoma
- Abstract 4504: Phase I study of nivolumab in combination with ipilimumab in metastatic renal cell carcinoma

Abstract 5009

Nivolumab for metastatic renal cell carcinoma (mRCC): results of a randomized, dose-ranging phase II trial

R. Motzer, B. Rini, D. McDermott, B. Redman, T. Kuzel,
M. Harrison, U. Vaishampayan, H. Drabkin, S. George,
T. Logan, K. Margolin, E. R. Plimack, I. Waxman,
A. Lambert, H. Hammers

Phase II study design



Primary Objective: To assess whether a dose–response relationship exists in the 0.3, 2, and 10 mg/kg arms as measured by PFS (RECIST v1.1)

Secondary Objectives: Estimation of PFS, ORR, OS, and adverse event rate

Exploratory Objectives: Pharmacokinetics, PD-L1 expression (prototype assay)

ClinTrials.gov NCT01354431 ^aTreatment arms blinded. Stratified by MSKCC prognostic score (0 vs 1 vs 2/3) and number of prior lines of therapy in the metastatic setting (1 vs >1).

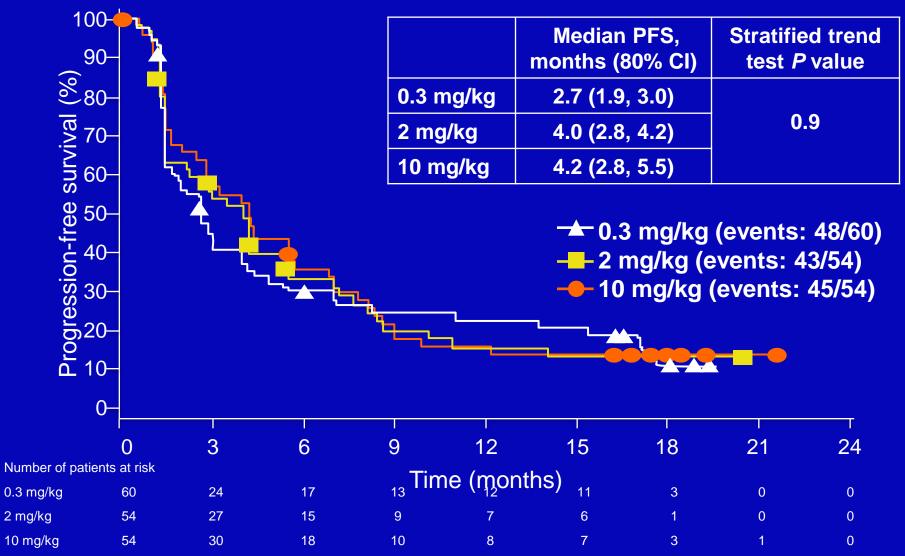
11

Prior therapy in metastatic setting

| | Nivolumab, mg/kg | | | | | | |
|---|------------------|-----------------|----------------|--------------------|--|--|--|
| | 0.3 (n = 60) | 2.0 (n = 54) | 10 (n = 54) | Total (N = 168) | | | |
| Prior nephrectomy, % | 90 | 91 | 94 | 92 | | | |
| Prior systemic regimens, % | | | | | | | |
| 1 | 27 | 30 | 33 | 30 | | | |
| 2 | 33 | 35 | 43 | 37 | | | |
| 3 | 40 ^a | 35 | 24 | 33 | | | |
| Common prior systemic therapies, % ^b | | | | | | | |
| Sunitinib | 77 | 78 | 69 | 74 | | | |
| Everolimus | 35 | 33 | 33 | 34 | | | |
| Pazopanib | 25 | 33 | 24 | 27 | | | |
| Interleukin-2 | 25 | 20 | 22 | 23 | | | |
| Sorafenib | 22 | 15 | 19 | 19 | | | |

^a1 patient (2%) in the 0.3 mg/kg group received >3 prior systemic therapies in the metastatic setting. ^b>20% of patients in any group.

Progression-free survival



Symbols represent censored observations.

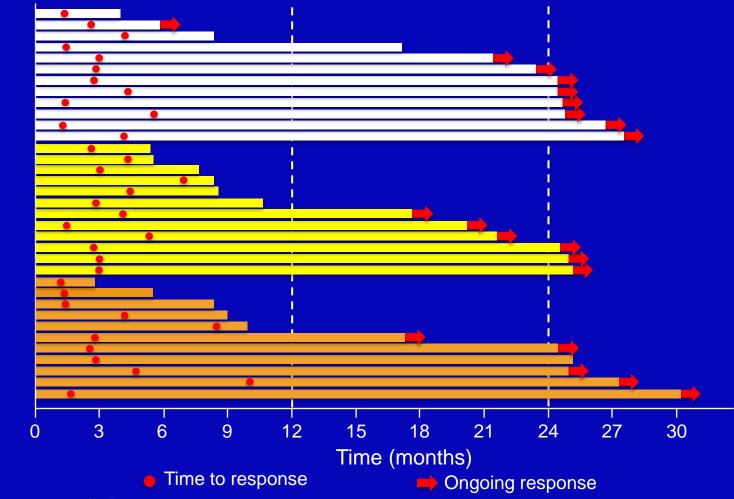
Objective responses

| | Nivolumab, mg/kg | | | | | |
|--|------------------|-----------------|----------------|--|--|--|
| | 0.3 (n = 60) | 2.0 (n = 54) | 10 (n = 54) | | | |
| ORR, n (%) ^a | 12 (20) | 12 (22) | 11 (20) | | | |
| (80% CI) | (13.4, 28.2) | (15.0, 31.1) | (13.4, 29.1) | | | |
| Duration of response, median (80% CI), months ^b | NR (NR, NR) | NR (4.2, NR) | 22.3 (4.8, NR) | | | |
| Best overall response, % | | | | | | |
| Complete response | 2 | 2 | 0 | | | |
| Partial response | 18 | 20 | 20 | | | |
| Stable disease | 37 | 43 | 44 | | | |
| Progression | 40 | 33 | 32 | | | |
| Not evaluable | 3 | 2 | 4 | | | |

^aORR defined by RECIST v1.1; data cutoff May 15, 2013. ^bDerived from the Kaplan–Meier estimate; data cutoff March 5, 2014. NR, not reached.

Duration of response

■ 0.3 mg/kg (n=12) ■ 2 mg/kg (n=12) ■ 10 mg/kg (n=11)



Responders

Based on data cutoff of March 5, 2014.

Treatment-related adverse events (≥10% of patients in any arm)

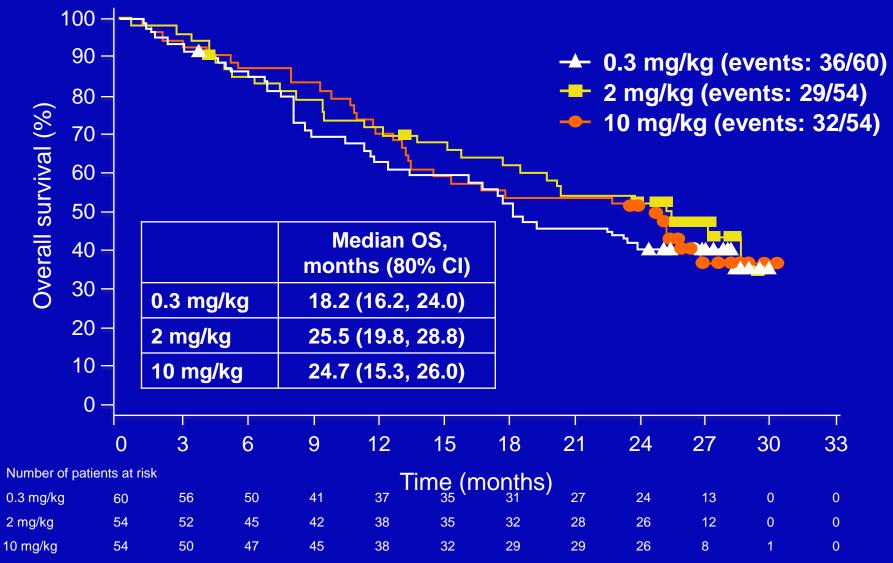
| | Nivolumab, mg/kg | | | | | |
|------------------------|------------------|--------------|--------------|--------------|--------------|--------------|
| | 0.3 (| n=59) | 2.0 (n=54) | | 10 (n=54) | |
| Patients with event, % | Any grade | Grade 3-4 | Any grade | Grade 3-4 | Any grade | Grade 3-4 |
| Any event | 75 | 5 | 67 | 17 | 78 | 13 |
| Fatigue | 24 | 0 | 22 | 0 | 35 | 0 |
| Nausea | 10 | 2 | 13 | 2 | 13 | 0 |
| Pruritus | 10 | 0 | 9 | 2 | 11 | 0 |
| Rash | 9 | 0 | 7 | 0 | 13 | 0 |
| Diarrhea | 3 | 0 | 11 | 0 | 15 | 0 |
| Appetite decreased | 3 | 0 | 13 | 0 | 4 | 0 |
| Dry mouth | 3 | 0 | 6 | 0 | 11 | 0 |
| Dry skin | 2 | 0 | 6 | 0 | 13 | 0 |
| Hypersensitivity | 2 | 0 | 2 | 0 | 17 | 0 |
| Arthralgia | 2 | 0 | 7 | 0 | 15 | 2 |

Treatment-related <u>select</u> adverse events

| | Nivolumab, mg/kg | | | | | |
|------------------------------------|------------------|--------------|--------------|--------------|--------------|--------------|
| | 0.3 (r | า = 59) | 2.0 (n = 54) | | 10 (n = 54) | |
| Category, % | Any grade | Grade 3/4 | Any grade | Grade 3/4 | Any grade | Grade 3/4 |
| Skin | 22 | 0 | 22 | 4 | 28 | 0 |
| Gastrointestinal | 5 | 0 | 11 | 2 | 15 | 0 |
| Endocrine | 5 | 0 | 11 | 4 | 11 | 0 |
| Hepatic | 3 | 2 | 7 | 4 | 6 | 0 |
| Pulmonary | 5 | 0 | 4 | 0 | 7 | 0 |
| Hypersensitivity/infusion reaction | 2 | 0 | 4 | 0 | 19 | 0 |
| Renal | 2 | 0 | 0 | 0 | 2 | 0 |

 No treatment-related grade 3/4 pneumonitis events or grade 5 events were reported

Overall survival



Based on data cutoff of March 5, 2014; Symbols represent censored observations.

Overall survival in phase III trials and nivolumab phase II study

| | AXIS ^{1,a} | INTORSECT ² | RECORD-1 ³ | GOLD⁴ | Nivolumab study |
|----------------------------|------------------------|----------------------------|------------------------|-------------------------|--------------------------------|
| Drug | Axitinib; sorafenib | Temsirolimus; sorafenib | Everolimus; placebo | Dovitinib; sorafenib | Nivolumab; 0.3; 2; 10 mg/kg |
| Patients, n | 389 | 512 | 416 | 570 | 168 |
| Risk group, % ^b | | | | | |
| Favorable | | 19 | 29 | 20 | 33 |
| Intermediate | Not stated | 69 | 56 | 58 | 42 |
| Poor | | 12 | 14 | 22 | 25 |
| Prior therapy | Sunitinib | Sunitinib | VEGF | VEGF + mTOR | $VEGF \pm mTOR$ |
| Line of therapy | 2nd | 2nd | 2nd or higher | 3rd or higher | 2nd to 4th |

^aPost TKI subset. ^bTotal ≠100% due to rounding. ^c95% CI. ^d80% CI.

1. Motzer R, et al. *Lancet Oncol.* 2013;14:552–62; 2. Hutson TE, et al. *J Clin Oncol.* 2014;32:760–7; 3. Motzer R, et al. *Cancer.* 2010;116:4256–65; 19 4. Motzer R, et al. *Lancet Oncol.* 2014;15:286–96.

Overall survival in phase III trials and nivolumab phase II study

| | AXIS ^{1,a} | INTORSECT ² | RECORD-1 ³ | GOLD ⁴ | Nivolumab study |
|----------------------------|--|---------------------------------------|------------------------|--------------------------|---|
| Drug | Axitinib; sorafenib | Temsirolimus; sorafenib | Everolimus; placebo | Dovitinib; sorafenib | Nivolumab; 0.3; 2; 10 mg/kg |
| Patients, n | 389 | 512 | 416 | 570 | 168 |
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| Poor | | 12 | 14 | 22 | 25 |
| Prior therapy | Sunitinib | Sunitinib | VEGF | VEGF + mTOR | $VEGF \pm mTOR$ |
| Line of therapy | 2nd | 2nd | 2nd or higher | 3rd or higher | 2nd to 4th |
| Median OS, months | 15.2; 16.5 | 12.3; 16.6 | 14.8; 14.4 | 11.1; 11.0 | 18.2; 25.5; 24.7 |
| CI | 12.8, 18.3 ^c 13.7, 19.2 ^c | 10.1,14.8 [°] 13.6, 18.7° | Not stated | 9.5, 13.4° 8.6, 13.5° | 16.2, 24.0 ^d 19.8, 28.8 ^d 15.3, 26.0 ^d |

^aPost TKI subset. ^bTotal ≠100% due to rounding. ^c95% CI. ^d80% CI.

1. Motzer R, et al. *Lancet Oncol.* 2013;14:552–62; 2. Hutson TE, et al. *J Clin Oncol.* 2014;32:760–7; 3. Motzer R, et al. *Cancer.* 2010;116:4256–65; 20 4. Motzer R, et al. *Lancet Oncol.* 2014;15:286–96.

Abstract 5012

Immunomodulatory activity of nivolumab in previously treated and untreated metastatic renal cell carcinoma (mRCC): biomarker-based results from a randomized clinical trial

 Toni K. Choueiri,¹ Mayer N. Fishman,² Bernard Escudier,³ Jenny J. Kim,⁴ Harriet Kluger,⁵ Walter M. Stadler,⁶ Jose Luis Perez-Gracia,⁷ Douglas McNeel,⁸ Brendan Curti,⁹ Michael Harrison,¹⁰ Elizabeth R. Plimack,¹¹ Leonard Appleman,¹² Lawrence Fong,¹³ Charles G. Drake,⁴ Lewis Cohen,¹⁴ Shivani Srivastava,¹⁴ Maria Jure-Kunkel,¹⁴ Quan Hong,¹⁴ John F. Kurland,¹⁴ Mario Sznol⁵

 ¹Dana-Farber Cancer Institute, Boston, MA, USA; ²H. Lee Moffitt Cancer Center & Research Institute, Tampa, FL, USA;
 ³Institut Gustave Roussy, Villejuif, France; ⁴Sidney Kimmel Comprehensive Cancer Center at Johns Hopkins University, Baltimore, MD, USA; ⁵Yale Cancer Center, New Haven, CT, USA; ⁶University of Chicago Medicine, Chicago, IL, USA;
 ⁷University Clinic of Navarra, Pamplona, Spain; ⁸University of Wisconsin-Madison, Department of Medicine, Madison, WI, USA;
 ⁹Providence Cancer Center, Providence Portland Medical Center, Portland, OR, USA; ¹⁰Duke University Medical Center, Durham, NC, USA; ¹¹Fox Chase Cancer Center, Philadelphia, PA, USA; ¹²University of Pittsburgh Medical Center (UPMC) Cancer Pavilion, Pittsburgh, PA, USA; ¹³University of California San Francisco (UCSF) Helen Diller Family Comprehensive Cancer Center, San Francisco, CA, USA; ¹⁴Bristol-Myers Squibb, Princeton, NJ, USA

Nivolumab mechanism of action: seeking pharmacodynamic and correlative evidence

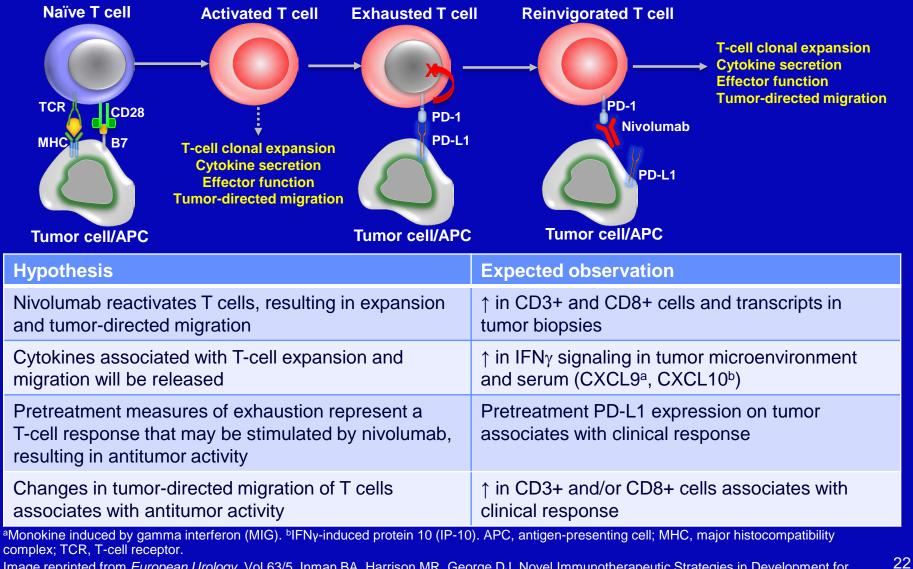
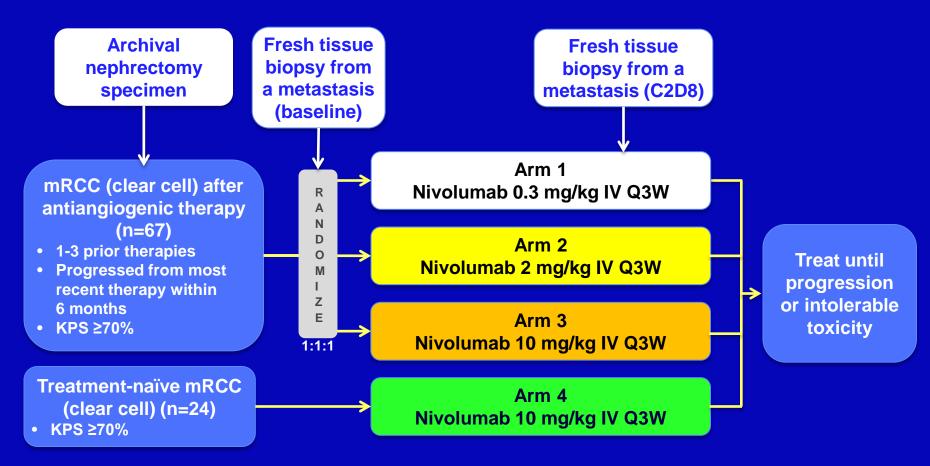


Image reprinted from *European Urology*, Vol 63/5, Inman BA, Harrison MR, George DJ, Novel Immunotherapeutic Strategies in Development for Renal Cell Carcinoma, 881-889, © 2012 with permission from Elsevier.

Study design



Serum and whole blood sampled at baseline and throughout study period

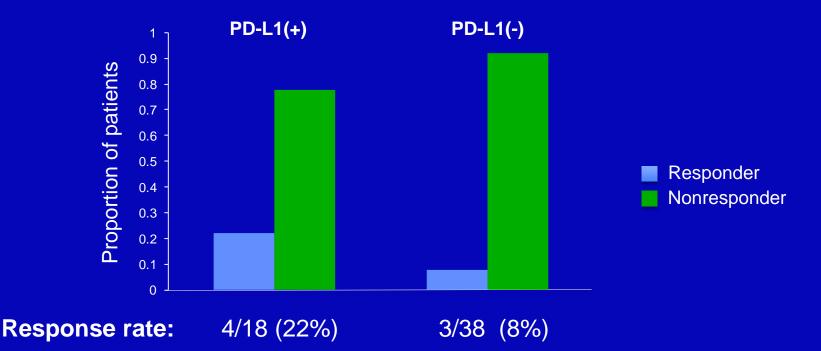
Clinical activity

| | | Previously treated (n=67) | Treatment- naïve (n=23) | A 11 | | |
|--|----------------------------------|----------------------------------|---------------------------------|---------------------------------|----------------------------|--|
| | Nivolumab 0.3 mg/kg (n=22) | Nivolumab 2.0 mg/kg (n=22) | Nivolumab 10 mg/kg (n=23) | Nivolumab 10 mg/kg (n=23) | All (N=90) ^b | |
| Objective response rate, n (%); (95% CI)ª | 2 (9) (1.1-29.2) | 5 (23) (7.8-45.4) | 5 (22) (7.5-43.7) | 3 (13) (2.8-33.6) | 15 (17) (9.6-26.0) | |
| Best response, n (%) | | | | | | |
| Partial response (PR) | 2 (9) | 5 (23) | 4 (17) | 3 (13) | 14 (16) | |
| Unconfirmed PR | 0 | 0 | 1 (4) | 0 | 1 (1) | |
| Stable disease (SD) | 5 (23) | 6 (27) | 8 (35) | 10 (43) | 29 (32) | |
| Progression-free survival rate, % (95% CI) | | | | | | |
| 24 weeks | 18 (6-36) | 32 (14-51) | 49 (27-68) | 45 (24-64) | 36 (26-46) | |

 Secondary endpoints: tumor response for all subjects determined as defined by RECIST v1.1 criteria

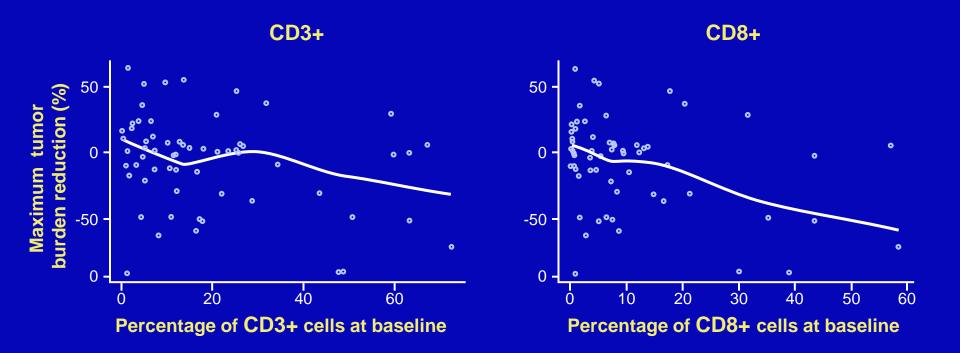
Response according to PD-L1 status by IHC

- 56 evaluable fresh pretreatment biopsies:
 - Minimum of 100 tumor cells (DAKO assay; antibody 28-8)
 - PD-L1+ specimens defined by plasma membrane staining on ≥5% of tumor cells
 - 18 of 56 (32%) samples were PD-L1+



 81% (22/27) of matched fresh specimens showed a <5% increase in tumor membrane PD-L1 expression from baseline to C2D8

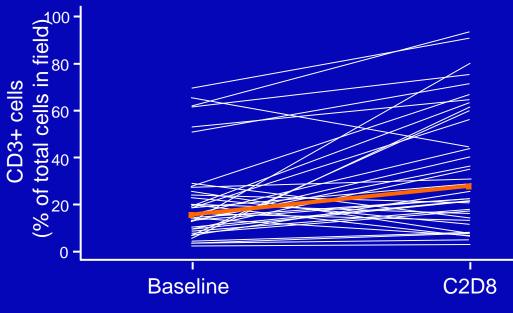
Tumor T-cell infiltrates at baseline correlate with tumor burden decrease



CD3/CD8 multiplexed IHC and tumor T-cell infiltrates

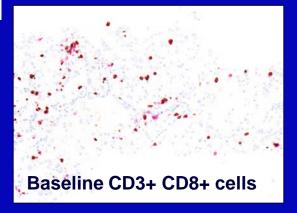
| Median increase in T cell infiltrates (CD3/CD8 multiplexed IHC), baseline to C2D8 (%) | All | 0.3 mg/kg | 2 mg/kg | 10 mg/kg | 10 mg/kg (naïve) |
|---|-----|--------------|------------|-------------|------------------------|
| CD3+ | 78% | 115% | 140% | 80% | 62% |
| CD8+ | 88% | 257% | 162% | 139% | 61% |

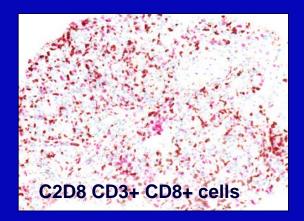
 Increase in TILs seen in previously treated & treatment-naïve patients, independent of dose levels



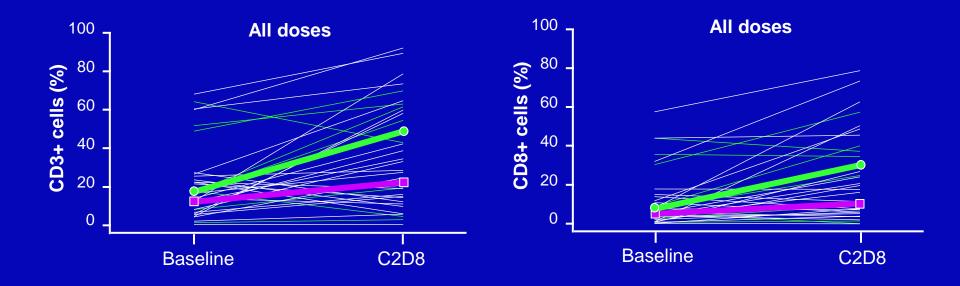
Total number of cells counted in region chosen by pathologist (automated software assessment)

Percentage of CD3+, CD8+ and CD3/8+ determined





Baseline and on-treatment tumor T-cell infiltrates (CD3 and CD8): association with response





Responder median •••• Nonresponder median ••••

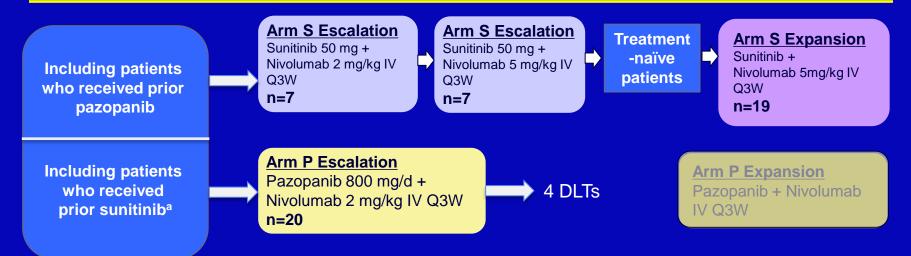
ASCO 2014

Abstract 5010

Nivolumab (anti-PD-1; BMS-936558; ONO-4538) in combination with sunitinib or pazopanib in patients (pts) with metastatic renal cell carcinoma (mRCC)

<u>A. Amin</u>, E.R. Plimack, J.R. Infante, M.S. Ernstoff, B. Rini, D.F. McDermott, J. Knox, S.K. Pal, M.H. Voss, P. Sharma, C. Kollmannsberger, D. Heng, J. Spratlin, Y. Shen, J. Kurland, P. Gagnier, H. Hammers

Dose escalation



<u>S + N arm</u>

- S + N2: n=7 pretreated patients
- S + N5: n=7 pretreated patients
- S + N5 expansion: n=19 treatment-naïve patients
- <u>P + N arm</u>
- P + N2: n=20 pretreated patients

Baseline patient characteristics

| Characteristic | S + N (n=33) | P + N (n=20) |
|--|---|---|
| Age, years, mean (SD) | 58.0 (9.1) | 56.3 (8.5) |
| Sex, n (%) Male Female | 26 (78.8) 7 (21.2) | 18 (90.0) 2 (10.0) |
| MSKCC risk category, n (%) Favorable Intermediate Poor | 8 (24.2) 24 (72.7) 1 (3.0) | 4 (20.0) 14 (70.0) 2 (10.0) |
| Surgery, n (%) | 33 (100) | 20 (100) |
| Radiotherapy, n (%) | 5 (15.2) | 10 (50) |
| Systemic therapy, n (%) VEGF-TKI Bevacizumab Cytokine mTOR inhibitor | 14 (42.4) 5 (15.2) 2 (6.1) 9 (27.3) 0 | 20 (100) 17 (85.0) 0 10 (50.0) 3 (15.0) |
| Prior lines of therapy, n (%) 1 ≥2 | 14 (42.4) 0 | 14 (70.0) 6 (30.0) |

ASCO 2014

Antitumor activity (per RECIST 1.1)

| | S + N (n=33) | P + N (n=20) |
|---|--|--------------------------------------|
| Confirmed ORR, n (%) 95% CI | 17 (52) 33.5-69.2 | 9 (45) 23.1-68.5 |
| Median duration of response, weeks (range) | 37.1 (18.1-80+) ^a | 30.1 (12.1-90.1+) ^b |
| Ongoing responses, % (n/N) | 59 (10/17) | 33 (3/9) |
| Best overall response, n (%) Complete response Partial response Stable disease Progressive disease Unable to determine | 1 (3) 16 (48) 10 (30) 1 (3) 4 (12) | 0 9 (45) 7 (35) 4 (20) 0 |

^aMedian follow-up 54.7 weeks; ^bMedian follow-up 76.5 weeks. Duration of response defined as time between date of first response and date of disease progression or death (whichever occurs first). ORR, objective response rate.

Grade 3-4 treatment-related AEs in ≥ 10% of patients

| | S + N (n=33) | | P + N2 | (n=20) |
|-------------------------------------|--------------|-----------|-----------|-----------|
| | Any grade | Grade 3-4 | Any grade | Grade 3-4 |
| Total patients with an event, n (%) | 33 (100) | 27 (81.8) | 20 (100) | 14 (70.0) |
| Hypertension | 16 (48.5) | 6 (18.2) | 5 (25.0) | 2 (10.0) |
| Increased ALT | 13 (39.4) | 6 (18.2) | 5 (25.0) | 4 (20.0) |
| Hyponatremia | 6 (18.2) | 5 (15.2) | 0 | 0 |
| Increased lymphocyte count | 6 (18.2) | 5 (15.2) | 1 (5.0) | 1 (5.0) |
| Diarrhea | 20 (60.6) | 3 (9.1) | 12 (60.0) | 4 (20.0) |
| Increased AST | 12 (36.4) | 3 (9.1) | 6 (30.0) | 4 (20.0) |
| Fatigue | 27 (81.8) | 3 (9.1) | 12 (60.0) | 3 (15.0) |

- Patients with any event (any grade): 53 (100%)
- No grade 5 treatment-related AEs were observed
- Most toxicities were consistent with the known profile of TKIs

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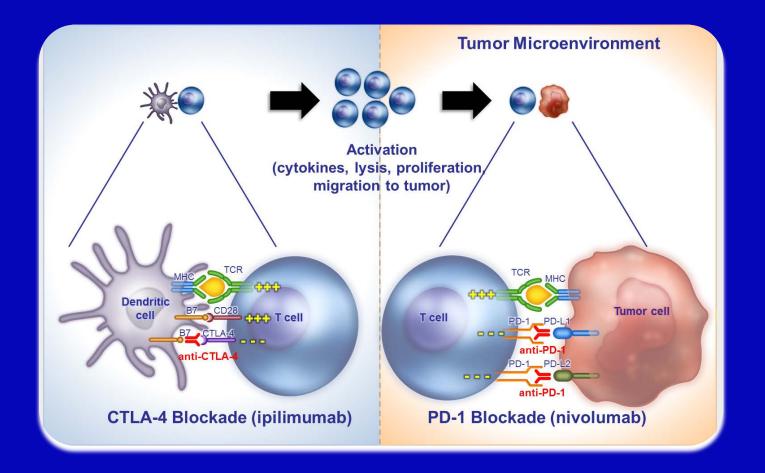
ASCO 2014

Abstract 4504

Phase I study of nivolumab in combination with ipilimumab in metastatic renal cell carcinoma (mRCC)

H. Hammers, E.R. Plimack, J.R. Infante, M.S. Ernstoff, B. Rini, D.F. McDermott, A. Razak, S.K. Pal, M.H. Voss, P. Sharma, C. Kollmannsberger, D. Heng, J. Spratlin, Y. Shen, J.F. Kurland, P. Gagnier, A. Amin

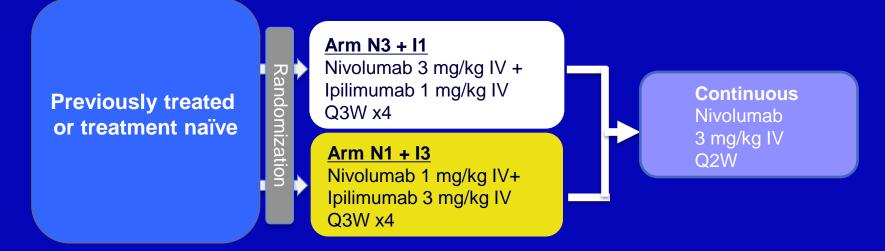
Mechanism of action



MHC, major histocompatibility complex; TCR, T-cell receptor.

CA209-016 (NCT01472081): phase I study design (N + I cohort)

Patients with mRCC:

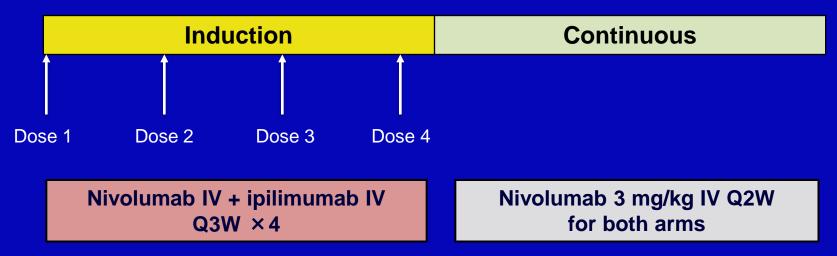


- Primary endpoint: Safety (AEs, laboratory tests)
- Secondary endpoint: Efficacy (ORR, duration of response, PFS)
- Exploratory endpoint: Response by tumor PD-L1 status
- Study assessments: Tumor response (RECIST v1.1) evaluated at screening, every 6 weeks (first 4 assessments), then every 12 weeks until disease progression

ORR, objective response rate. TKI cohort presented by Amin A *et al.* ASCO 2014, Abstract 5010

Nivolumab plus Ipilimumab Treatment administration

• Dosing schedule:



• At induction visits, patients received 2 infusions

- 1st infusion was always nivolumab (1 or 3 mg/kg)
- Ipilimumab (1 or 3 mg/kg) infusion was started ≥30 min after completion of nivolumab infusion

Baseline patient characteristics

| Characteristic | N3 + I1 (n=21) | N1 + I3 (n=23) |
|---|---|--|
| Age, y, mean (SD) | 53.2 (8.26) | 53.5 (11.24) |
| Sex, male, n (%) | 17 (81.0) | 21 (91.3) |
| MSKCC risk category, n (%) Favorable Intermediate Poor | 5 (23.8) 16 (76.2) 0 | 5 (21.7) 18 (78.3) 0 |
| Radiotherapy, n (%) | 7 (33.3) | 8 (34.8) |
| Systemic treatments, n (%) Antiangiogenic Cytokine mTOR inhibitor | 17 (81.0) 10 (47.6) 12 (57.1) 5 (23.8) | 18 (78.3) 15 (65.2) 6 (26.1) 7 (30.4) |
| Prior lines of therapy, n (%) 0 1 2 >2 All patients had prior nephrectom | 4 (19.0) 11 (52.4) 3 (14.3) 3 (14.3) | 5 (21.7) 11 (47.8) 1 (4.3) 6 (26.1) |

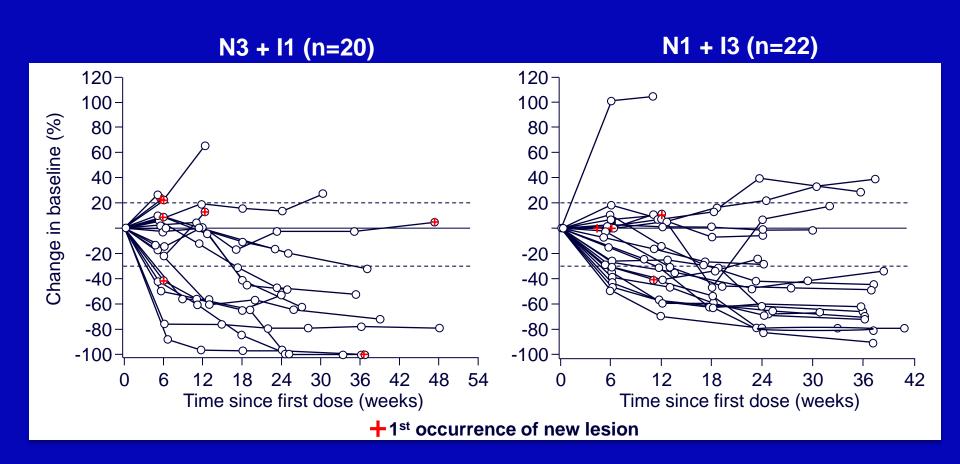
 All patients had prior nephrectomy except for 1 in the N3 + I1 arm, and 2 in N1 + I3 arm

Antitumor activity

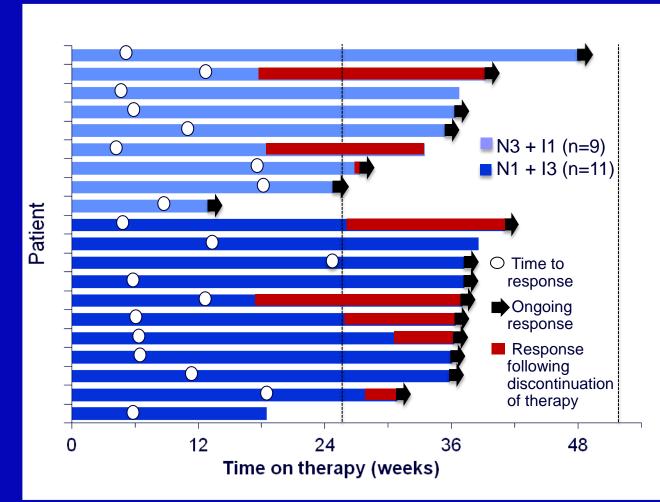
| | N3 + I1 (n=21) | N1 + I3 (n=23) |
|---|--|---|
| Confirmed ORR, n (%) 95% CI | 9 (43) 21.8-66.0 | 11 (48) 26.8-69.4 |
| Median duration of response, weeks (range) ^a | 31.1 (4.1+-42.1+) ^b | NR (12.1+-35.1+) ^c |
| Ongoing responses, % (n/N) | 78 (7/9) | 82 (9/11) |
| Best objective response, n (%) Complete response Partial response Stable disease Progressive disease Unable to determine | 0 9 (43) 5 (24) 5 (24) 1 (5) | 1 (4) 10 (43) 8 (35) 3 (13) 1 (4) |
| 24-week PFS, % (95% CI) | 65 (40-82) | 64 (41-80) |

^aDue to the high percentage of ongoing responses, median duration of response may be misleading; ^bMedian follow-up 36.1 weeks; ^cMedian follow-up 40.1 weeks Duration of response defined as time between date of first response and date of disease progression or death (whichever occurs first).

Change from baseline in target tumor burden



Time to response and duration of response



Responders at first assessment (6 weeks): N3 + I1 = 4/9 (44.4%) N1 + I3 = 6/11 (54.5%)

Ongoing responders: N3 + I1 = 7/9 (77.8%) N1 + I3 = 9/11 (81.8%)

Patients discontinuing treatment (not due to progression) who continued to respond: N3 + I1 = 3/9 (33.3%) N1 + I3 = 5/11 (45.5%)

- Median duration of response (DOR) for N3 + I1 was 31 weeks
- Median DOR was not reached in the N1 + I3 arm at 40.1 weeks follow-up

Nivolumab plus Ipilimumab Treatment-related AEs

| | N3 + I1 (n=21) | | N1 + I3 (n=23) | |
|-------------------------------------|----------------|-----------|----------------|-----------|
| | All | Grade 3-4 | All | Grade 3-4 |
| Total patients with an event, n (%) | 16 (76.2) | 6 (28.6) | 23 (100) | 14 (60.9) |
| Fatigue | 11 (52.4) | 0 | 16 (69.6) | 2 (8.7) |
| Rash | 8 (38.1) | 0 | 4 (17.4) | 0 |
| Pruritus | 6 (28.6) | 0 | 5 (21.7) | 0 |
| Diarrhea | 6 (28.6) | 1 (4.8) | 8 (34.8) | 3 (13.0) |
| Dry skin | 4 (19.0) | 0 | 3 (13.0) | 0 |
| Nausea | 4 (19.0) | 0 | 9 (39.1) | 0 |
| Pyrexia | 4 (19.0) | 0 | 4 (17.4) | 0 |
| Chills | 3 (14.3) | 0 | 2 (8.7) | 0 |
| Constipation | 3 (14.3) | 0 | 2 (8.7) | 0 |
| Hypothyroidism | 3 (14.3) | 0 | 6 (26.1) | 0 |
| Lipase increased | 3 (14.3) | 3 (14.3) | 6 (26.1) | 6 (26.1) |
| Amylase increased | 1 (4.8) | 1 (4.8) | 3 (13.0) | 1 (4.3) |
| ALT increased | 1 (4.8) | 0 | 9 (39.1) | 6 (26.1) |
| AST increased | 0 | 0 | 9 (39.1) | 3 (13.0) |

• No grade 5 treatment-related AEs were reported.

Hammers et al. JCO 32S; Abstr 4504,2014

Nivolumab plus Ipilimumab Treatment-related select AE categories

| Category, n (%) | N3 + I1 (n=21) | | N1 + I3 (n=23) | |
|---------------------------|----------------|-----------|----------------|-----------|
| | All | Grade 3-4 | All | Grade 3-4 |
| Endocrinopathy | 3 (14.3) | 0 | 8 (34.8) | 0 |
| Gastrointestinal disorder | 6 (28.6) | 1 (4.8) | 9 (39.1) | 4 (17.4) |
| Hepatic | 1 (4.8) | 0 | 9 (39.1) | 6 (26.1) |
| Infusion reaction | 2 (9.5) | 0 | 2 (8.7) | 0 |
| Pulmonary | 1 (4.8) | 0 | 2 (8.7) | 0 |
| Renal disorder | 2 (9.5) | 0 | 3 (13.0) | 0 |
| Skin disorder | 8 (38.1) | 0 | 9 (39.1) | 0 |

 No high-grade pulmonary AEs, including pneumonitis, were observed

> Hammers et al. JCO 32S; Abstr 4504,2014

Nivolumab Next Steps

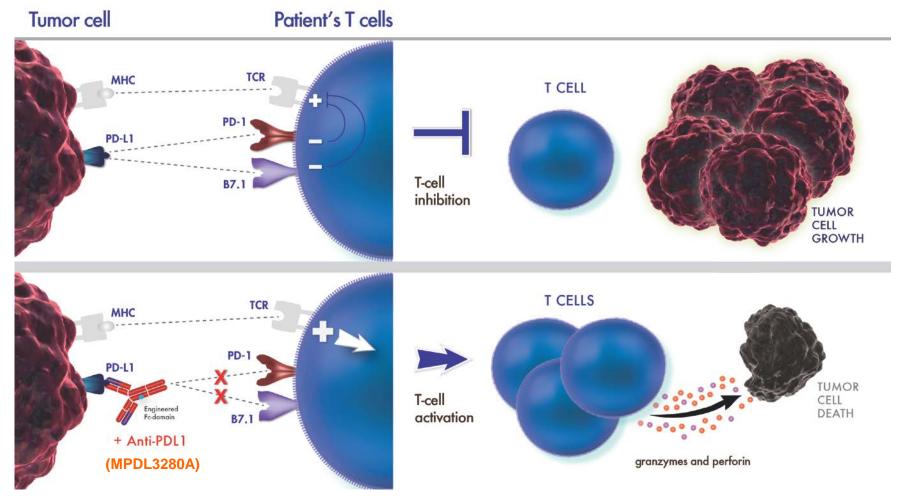
 Nivolumab is being compared with everolimus in a phase III trial for patients who progressed on VEGF targeted therapy with an overall survival endpoint

 A phase III trial is planned in the first-line setting for nivolumab plus ipilimumab versus sunitinib **Trials to Watch with Other Checkpoint Inhibitors**

 A phase II trial is underway for MPDL3280A plus bevacizumab versus MPDL3280A monotherapy versus sunitinib in first line therapy for metastatic RCC (Genentech)

 Phase I trial of MK-3475 plus pazopanib (Merck/GSK) is underway and for MK-3475 plus axitinib (Merck/Pfizer) is planned

MPDL3280A (Anti-PDL1) Inhibits the Binding of PD-L1 to PD-1 and B7.1



- Blocking PD-L1 restores T-cell activity, resulting in tumor regression in preclinical models
- Binding to PD-L1 leaves PD-1/PD-L2 interaction intact and may enhance efficacy and safety

Annual '13

Meeting

Inhibition of PD-L1 by MPDL3280A leads to clinical activity in patients with metastatic urothelial bladder cancer (UBC)

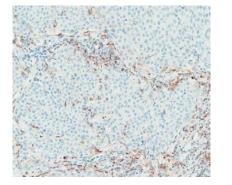
Thomas Powles,¹ Nicholas J. Vogelzang,² Gregg Fine,³ Joseph Paul Eder,⁴ Fadi Braiteh,⁵ Yohann Loriot,⁶ Cristina Cruz,⁷ Joaquim Bellmunt,⁸ Howard Burris,⁹ Siew-leng Melinda Teng,³ Xiaodong Shen,³ Hartmut Koeppen,³ Priti S. Hegde,³ Daniel S. Chen,³ Daniel P. Petrylak⁴

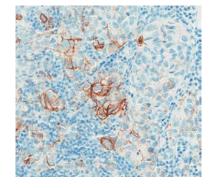
¹Barts Cancer Institute, Queen Mary University of London; ²University of Nevada School of Medicine and US Oncology/Comprehensive Cancer Centers of Nevada; ³Genentech, Inc.; ⁴Yale Cancer Center; ⁵Comprehensive Cancer Centers of Nevada; ⁶Gustave Roussy, University of Paris-Sud; ⁷Vall d'Hebron Institute of Oncology (VHIO) and Vall d'Hebron University Hospital; ⁸Bladder Cancer Center, Dana-Farber/Brigham and Women's Cancer Center, Harvard Medical School; ⁹Sarah Cannon Research Institute

PD-L1 Prevalence in Solid Tumors

| Indication | PD-L1+ (IC) | PD-L1+ (TC) |
|----------------------------|-------------|-------------|
| NSCLC (n = 184) | 26% | 24% |
| UBC (n = 205) | 27% | 11% |
| RCC (n = 88) | 25% | 10% |
| Melanoma (n = 59) | 36% | 5% |
| HNSCC (n = 101) | 28% | 19% |
| Gastric cancer (n = 141) | 18% | 5% |
| CRC (n = 77) | 35% | 1% |
| Pancreatic cancer (n = 83) | 12% | 4% |

ICs; tumor-infiltrating immune cells. TCs; tumor cells. PD-L1+ if \geq 5% ICs or TCs were positive for PD-L1 staining (Genentech/Roche PD-L1 IHC).





UBC IHC (ICs)

UBC IHC (TCs)

MPDL3280A: Treatment-Related AEs

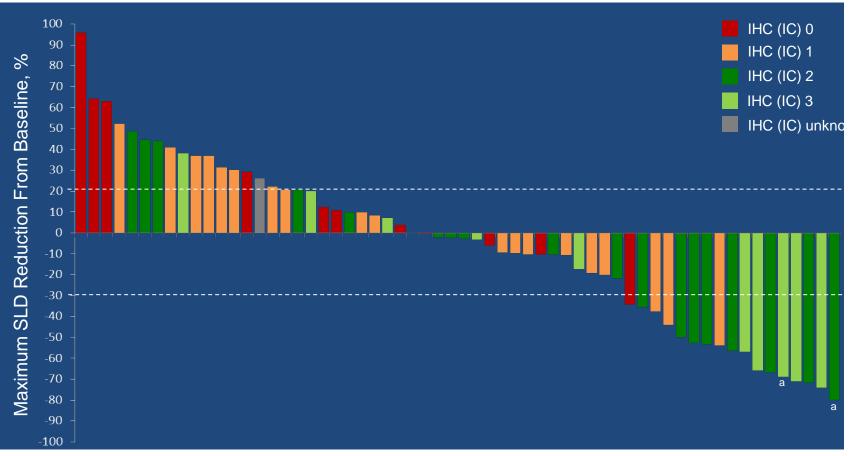
Safety-evaluable population with UBC in Phase I expansion

| Patients With UBC N = 68 | All Grade n (%) | Grade 3-4 ^a n (%) |
|-----------------------------|--------------------|---------------------------------|
| All | 39 (57%) | 3 (4%) |
| Decreased appetite | 8 (12%) | 0 |
| Fatigue | 8 (12%) | 0 |
| Nausea | 8 (12%) | 0 |
| Pyrexia | 6 (9%) | 0 |
| Asthenia | 5 (7%) | 1 (2%) |
| Chills | 3 (4%) | 0 |
| Influenza-like illness | 3 (4%) | 0 |
| Lethargy | 3 (4%) | 0 |

- MPDL3280A was well tolerated in patients with UBC, including the elderly and patients with impaired renal function
- No treatment-related grade 4 or 5 AEs
- No investigator-assessed immune-related toxicities were reported as of the clinical cutoff

MPDL3280A: Summary of ORR in UBC

Efficacy-evaluable population with UBC in Phase I expansion



^a Patients with complete responses. Patients with a CR had < 100% reduction of the target lesions due to lymph node target lesions. All lymph nodes returned to normal size per RECIST v1.1.

IC; tumor-infiltrating immune cells.

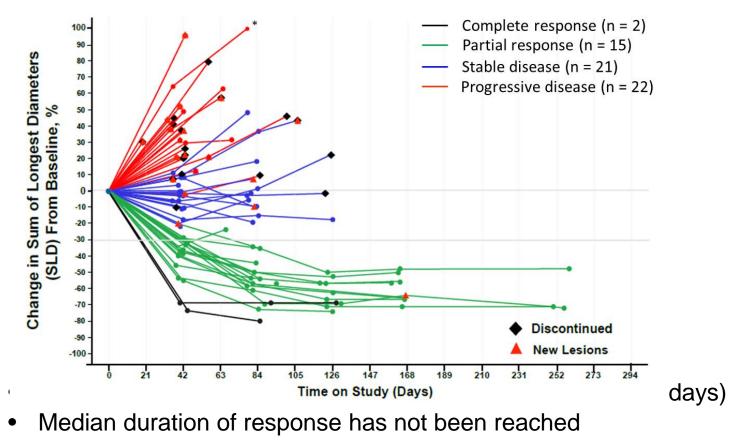
Responses are investigator assessed, Best response is not known for 7 patients.

Diagnostic/(Dx) PD-L1 positive: IHC 3 (≥ 10% of ICs PD-L1+) and IHC 2 (≥ 5% but < 10% of ICs PD-L1+).

Diagnostic/(Dx) PD-L1 negative: IHC 1 (≥ 1% but < 5% ICs PD-L1+) and IHC 0 (<1% ICs PD-L1+).

Patients dosed by Nov 20, 2013 (≥ 6 wk follow-up) with measurable disease at baseline. Clinical data cutoff was Jan 1, 2014.

MPDL3280A: Tumor Burden Over Time in UBC



0.1+ to 30.3+ weeks IHC (IC) 2 or 3 and 0.1+ to 6.0+ weeks for IHC (IC) 0 or 1

Best response is not known for 7 patients.

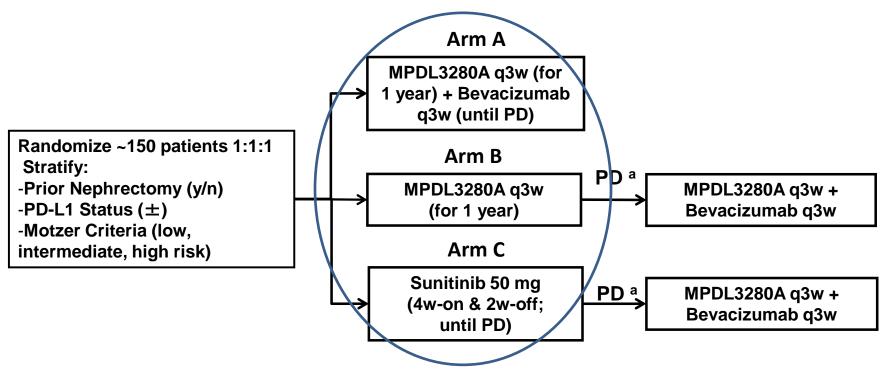
Patients dosed by Nov 20, 2013 (≥ 6 wk follow-up) with measurable disease at baseline and at least 1 post-baseline measurement. Clinical data cutoff was Jan 1, 2014.

MPDL3280A in urothelial carcinoma

- Low toxicity even in elderly patients
 - No grade 4-5 events
- High efficacy in PDL1 positive patients
 - Primarily related to infiltrating immune cells
- Activity in PDL1 negative patients similar to our standard salvage chemotherapies
- 94% of responders still responding at data cutoff
- Further development is ongoing
 - Large single arm phase II study recruiting at MSK and other centers

Genentech Randomized Phase II trial Study Design

Study Schema



PD = progressive disease; PD - L1 = programmed cell death-1 ligand 1; q3w = every 3 weeks.^a Mandatory biopsy at progressive disease to be eligible for crossover.